



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 DFR 1.27

Complete if Known

Application Number	09/196,347
Filing Date	11/19/1998
First Named Inventor	Scott H. Brown, et al
Group Art Unit	1621
Examiner Name	Nadine G. Preisch
Attorney Docket Number	33536US1 (4081-01500)

TOTAL AMOUNT OF PAYMENT (\$)
786.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.: 50-1515
Deposit Account Name: Conley Rose, P.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1 BASIC FILING FEE					
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	750	201	375	Utility filing fee	\$750.00
106	330	206	165	Design filing fee	\$
107	520	207	260	Plant filing fee	\$
108	750	208	375	Reissue filing fee	\$
114	160	214	80	Provisional filing fee	\$
SUBTOTAL (1)					\$750.00

2. EXTRA CLAIM FEES

			Extra	Fee from below	Fee Paid
Total Claims	22	- 20 =	2	x 18.00 =	\$36.00
Independent Claims	3	3 =	0	x 84.00 =	\$
Multiple Dependent				280.00 =	\$

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUB TOTAL (2) \$36.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	\$
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For filing a request for reexam	\$
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	\$
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	\$
115	110	215	55	Extension for reply within 1st month	\$
116	410	216	205	Extension for reply within 2nd month	\$
117	930	217	465	Extension to reply within 3rd month	\$
118	1,450	218	725	Extension to reply within 4th month	\$
128	1,970	228	985	Extension to reply within 5th month	\$
119	320	219	160	Notice of Appeal	\$
120	320	220	160	Filing a brief in support of an appeal	\$
121	280	221	140	Request for oral hearing	\$
138	1,510	138	1,510	Petition to institute a public use proceeding	\$
140	110	240	55	Petition to revive - unavoidable	\$
141	1,280	241	640	Petition to revive - unintentional	\$
142	1,280	242	640	Utility issue fee (or reissue)	\$
143	460	243	230	Design issue fee	\$
144	620	244	310	Plant issue fee	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional apps.	\$
126	180	126	180	Submission of Information Dis. Stmt.	\$
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	\$
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	\$
1801	740	2801	370	Request for Continued Examination (RCE)	\$
1802	900	1802	900	Request for expedited examination of a design application	\$

Other fee (specify) _____ \$

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

RECEIVED

JAN 17 2003

OFFICE OF PETITIONS

SUBMITTED BY

Typed or Printed Name Rodney B. Carroll

Signature

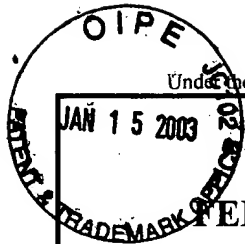
Date

1/15/03

Complete (if applicable)

Registration Number 39,624

Deposit Account User ID

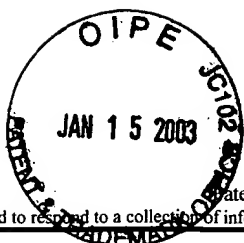


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		Examiner Name	Nadine G. Preisch
TOTAL AMOUNT OF PAYMENT	(\$ 786.00)	Attorney Docket Number	33536US1 (4081-01500)

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																											
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Stmt.</td><td>\$</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>\$</td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td>\$</td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td>\$</td></tr> <tr><td>1801</td><td>740</td><td>2801</td><td>370</td><td>Request for Continued Examination (RCE)</td><td>\$</td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td>\$</td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td>\$</td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td>\$</td></tr> </tbody> </table>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	\$	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$	139	130	139	130	Non-English specification	\$	147	2,520	147	2,520	For filing a request for reexam	\$	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	\$	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	\$	115	110	215	55	Extension for reply within 1st month	\$	116	410	216	205	Extension for reply within 2nd month	\$	117	930	217	465	Extension to reply within 3rd month	\$	118	1,450	218	725	Extension to reply within 4th month	\$	128	1,970	228	985	Extension to reply within 5th month	\$	119	320	219	160	Notice of Appeal	\$	120	320	220	160	Filing a brief in support of an appeal	\$	121	280	221	140	Request for oral hearing	\$	138	1,510	138	1,510	Petition to institute a public use proceeding	\$	140	110	240	55	Petition to revive - unavoidable	\$	141	1,280	241	640	Petition to revive - unintentional	\$	142	1,280	242	640	Utility issue fee (or reissue)	\$	143	460	243	230	Design issue fee	\$	144	620	244	310	Plant issue fee	\$	122	130	122	130	Petitions to the Commissioner	\$	123	50	123	50	Petitions related to provisional apps.	\$	126	180	126	180	Submission of Information Dis. 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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Rodney B. Carroll	Registration Number	39,624
Signature		Date	1/15/03
		Deposit Account User ID	

Please type a plus sign (+) inside this box: ☐ [+]



01/15-03

26

DAE/1700

PTO/SB/29 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable
☐ DUPLICATE

Address to:

Box CPA
Commissioner for Patents
Washington, DC 20231

Attorney Docket No.
Of Prior Application

33536US1 (4081-01500)

First Named Inventor

Scott H. Brown, et al.

Examiner Name

Nadine G. Preisch

Group Art Unit

1764

Express Mail Label No.

EV208257904US

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),
continued prosecution application (CPA) of prior application number 09/196,347 filed on November 19,
1998, entitled Hydrogenation Catalysts and Processes Therewith.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b) or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request. 37 CFR 1.78(a).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ☒ Enter the unentered amendment previously filed on June 26, 2002 under 37 CFR 1.116 in the prior nonprovisional application. (copy enclosed)
2. ☐ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:

 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ PTO-1449
 - b. ☐ Copies of IDS Citations

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[Page 1 of 2]

01/17/2003 MAHME1 00000076 09196347

Please type a plus sign (+) inside this box, ÷ [+]

PTO/SB/29 (08-00))

Approved for use through 10/31/2002 OMB 0651-0032
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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (j))		22 - 20 =	2	x \$18.00	\$ 36.00
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))		3 - 3 =	0	x \$84.00	\$.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$280.00	\$
				BASIC FEE (37 CFR 1.16(a))	\$ 750.00
				Total of above Calculations =	\$ 786.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).					\$
* Reissue claims in excess of 20 and over original patent. **Reissue independent claims over original patent.					
TOTAL =					\$ 786.00

6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-1515.
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
8. ☒ A check in the amount of \$786.00 is enclosed.
9. ☐ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) (fee under 37 CFR 1.17(I) enclosed).
11. ☒ New Attorney Docket Number, if desired 33536US1 (4081-01500)
[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]
12. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13. ☐ Other: _____

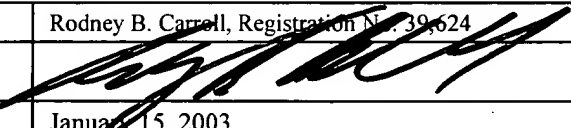
NOTE: The prior application's correspondence address will carry over to this CPA
UNLESS a new correspondence address is provided below.

12. NEW CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

NAME	Rodney B. Carroll				
	Conley Rose, P.C.				
ADDRESS	5700 Granite Parkway, Suite 330				
CITY	Plano	STATE	TX	ZIP CODE	75024
COUNTRY	U.S.	TELEPHONE	972 / 731-2299	FAX	972 / 731-2289

1. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Rodney B. Carroll, Registration No. 39,624
SIGNATURE	
DATE	January 15, 2003

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